

MAR 09 2006

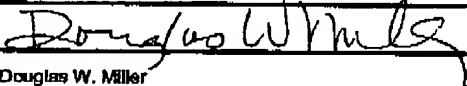
PTO/SB/21 (08-04)

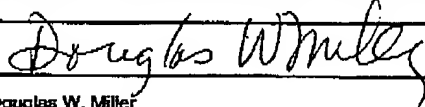
Approved for use through 07/31/2006, OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|   |                      |                                |             |
|---|----------------------|--------------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 09/884,908 US Patent 6,482,903 |             |
|   | Filing Date          | September 18, 2000             |             |
|   | First Named Inventor | Agapios K. Agapiou, et al      |             |
|   | Art Unit             | 1713                           |             |
|   | Examiner Name        | C. Caxia Lu                    |             |
| Total Number of Pages in This Submission  | 9                    | Attorney Docket Number         | 1999U039.US |

| ENCLOSURES (Check all that apply)   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input checked="" type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Statements under 37 CFR 1.324 (b) (1), (2) and (3) |
| Remarks   |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |                 |
|--|---|-----------------|
| Firm Name                                  | Southwest Patent Services   |                 |
| Signature                                  |  |                 |
| Printed name                               | Douglas W. Miller   |                 |
| Date                                       | March 9, 2006   | Reg. No. 36,808 |

| CERTIFICATE OF TRANSMISSION/MAILING   |   |                    |
|---|---|--------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: |   |                    |
| Signature   |  |                    |
| Typed or printed name   | Douglas W. Miller   | Date March 9, 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No. : 09/253,053  
US Pat. No.: 6,660,815  
Applicant : Agapios K. Agapiou et al.  
Filed : September 24, 2002  
Art Unit : 1713  
Examiner : C. Caixia Lu

Confirmation No. N/A

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CENTRAL FAX CENTER****MAR 09 2006**

Docket No. : 1999U039.US.Div  
Customer No. : 25959

Date : March 9, 2006

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**PETITION UNDER 37 C.F.R. § 1.324**

Sir:

Patentee's Petition for Correction of inventorship under 37 C.F.R. § 1.324,  
pursuant to 35 U.S.C. § 256.

|                                       |                                       |
|---------------------------------------|---------------------------------------|
| 02002580 00000000 10/10/03TH 30053053 | 03/13/2006 HTECKLU1 00000058 09253053 |
| ISSUE                                 | 01 FC:1464 130.00 0P                  |

Patentee's wish to correct inventorship of the above referenced application/issued patent, by adding an inventor.

***FACTS***

- i) Statement under 37 C.F.R. § 1.324 (b) (1) from the person who is being added is attached.
- ii) Statements under 37 C.F.R. § 1.324 (b) (2) from the current named inventors (2) is attached.
- iii) Statement under 37 C.F.R. § 1.324 (b) (3) from the assignee is attached.
- iv) Fee as set forth in § 1.20 (b), is attached.

1999U039.Div.US.petition.03.08.06.doc

**MAR 09 2006**

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Approved for use through 07/31/2008. OMB 0861-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# **FEE TRANSMITTAL for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 130.00

## **Complete If Known**

|                      |                                |
|----------------------|--------------------------------|
| Application Number   | 09/253,053 US Patent 6,660,815 |
| Filing Date          | September 24, 2002             |
| First Named Inventor | Agapios K. Agapiou             |
| Examiner Name        | C. Caixia Lu                   |
| Art Unit             | 1713                           |
| Attorney Docket No.  | 1999U039.US.DIV                |

## **METHOD OF PAYMENT (check all that apply)**

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number  
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 770                   | 2001 385                   | Utility filing fee     |          |
| 1002 340                   | 2002 170                   | Design filing fee      |          |
| 1003 530                   | 2003 285                   | Plant filing fee       |          |
| 1004 770                   | 2004 385                   | Reissue filing fee     |          |
| 1005 160                   | 2005 80                    | Provisional filing fee |          |
| <b>SUBTOTAL (1) (\$)</b>   |                            |                        |          |

### **2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | - 20** =     | X              |          |
| Multiple Dependent | - 3** =      | X              |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 1202 18                    | 2202 9                     | Claims in excess of 20                                     |
| 1201 86                    | 2201 43                    | Independent claims in excess of 3                          |
| 1203 280                   | 2203 145                   | Multiple dependent claim, if not paid                      |
| 1204 86                    | 2204 43                    | ** Reissue independent claims over original patent         |
| 1205 18                    | 2205 9                     | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$)**

\*\*or number previously paid, if greater; For Reissues, see above

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 1051 130                   | 2051 66                    | Surcharge - late filing fee or oath  |          |
| 1052 50                    | 2052 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130                   | 2053 130                   | Non-English specification  |          |
| 1812 2,520                 | 1812 2,520                 | For filing a request for ex parte reexamination                            |          |
| 1804 920*                  | 1804 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 1806 1,840*                | 1806 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 1251 110                   | 2251 55                    | Extension for reply within first month                                     |          |
| 1252 420                   | 2252 210                   | Extension for reply within second month                                    |          |
| 1253 950                   | 2253 475                   | Extension for reply within third month                                     |          |
| 1254 1,480                 | 2254 740                   | Extension for reply within fourth month                                    |          |
| 1255 2,010                 | 2255 1,005                 | Extension for reply within fifth month                                     |          |
| 1401 330                   | 2401 165                   | Notice of Appeal   |          |
| 1402 330                   | 2402 165                   | Filing a brief in support of an appeal                                     |          |
| 1403 290                   | 2403 145                   | Request for oral hearing   |          |
| 1451 1,510                 | 1451 1,510                 | Petition to institute a public use proceeding                              |          |
| 1452 110                   | 2452 55                    | Petition to revive - unavoidable   |          |
| 1453 1,330                 | 2453 665                   | Petition to revive - unintentional   |          |
| 1501 1,330                 | 2501 665                   | Utility issue fee (or reissue)   |          |
| 1502 490                   | 2502 240                   | Design issue fee   |          |
| 1503 640                   | 2503 320                   | Plant issue fee  |          |
| 1460 130                   | 1460 130                   | Petitions to the Commissioner  |          |
| 1807 50                    | 1807 50                    | Processing fee under 37 CFR 1.17(c)  |          |
| 1808 180                   | 1808 180                   | Submission of Information Disclosure Stmt                                  |          |
| 8021 40                    | 8021 40                    | Recording each patent assignment per property (times number of properties) |          |
| 1809 770                   | 2809 385                   | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 770                   | 2810 385                   | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 770                   | 2801 385                   | Request for Continued Examination (RCE)                                    |          |
| 1802 900                   | 1802 900                   | Request for expedited examination of a design application                  |          |
| Petition 37CFR 1.324       |                            |  | 130.00   |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 130.00

## **SUBMITTED BY**

|                   |                          |                                   |               |           |              |
|-------------------|--------------------------|-----------------------------------|---------------|-----------|--------------|
| Name (Print/Type) | Douglas W. Miller        | Registration No. (Attorney/Agent) | 36,608        | Telephone | 409.763.4200 |
| Signature         | <i>Douglas W. Miller</i> | Date                              | March 9, 2006 |           |              |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**CONCLUSION**

Patentees have complied with the requirements of 37 C.F.R. § 1.324, pursuant to 35 U.S.C. § 256. The facts, outlined above, support a change (addition) of inventorship.

Accordingly, Patentees respectfully Petition to have the inventorship changed.

Note is made that the correspondence should be sent to

Douglas W. Miller  
In representation of Univation Technologies, LLC  
c/o Maureen M. White  
5555 San Felipe, Suite 1950  
Houston, Texas 77056  
Facsimile: 713.892.3687

However the telephone number for Douglas W. Miller is (409) 763-4200.

Respectfully submitted,



Douglas W. Miller  
Agent for Applicants  
Registration No. 36,608

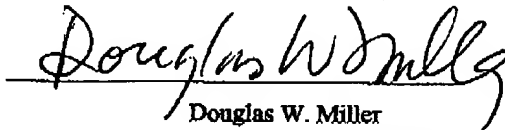
Southwest Patent Services  
305 21<sup>st</sup> Street, Suite 249  
Galveston, Texas 77550  
(409) 763-4200

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3/9/06

Date



Douglas W. Miller  
Registration No. 36,608

1999U039.Div.US.petition.03.08.06.doc

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No. : 09/253,053 Confirmation No. N/A  
US Pat. No.: 6,660,815  
Applicant : Agapios K. Agapiou et al.  
Filed : September 24, 2002  
Art Unit : 1713  
Examiner : C. Caixia Lu  
Docket No. : 1999U039.US.Div  
Customer No. : 25959  
Date : March 9, 2006

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P. O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. § 1.324 (b) (3)**

Sir:

Univation Technologies, LLC, is the Assignee of record of the entire right title and interest in the following patent matter:

US Patent Number: 6,660,815 Assignment recorded at reel 011309, frame 0174.

I, Raul Montes, as General Counsel and Secretary of Univation Technologies, LLC, am authorized to act on behalf of Univation Technologies, LLC in patent matters.

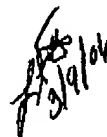
As the Assignee, Univation Technologies, LLC, agrees to the change in inventorship for US Patent Number: 6,660,815, adding Richard B. Pannell as an inventor to this patent in addition to the existing inventors: Chi-I Kuo and Agapios K. Agapiou.

MARCH 9, 2006

Date



Raul R. Montes, US registration No.: 32,545  
General Counsel and Secretary,  
Univation Technologies, LLC



1999U039 Div US 1 324 b 3 montes 03 08 06.doc

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/253,053 Confirmation No. N/A  
US Pat. No.: 6,660,815  
Applicant : Agapios K. Agapiou et al.  
Filed : September 24, 2002  
Art Unit : 1713  
Examiner : C. Caixia Lu  
  
Docket No. : 1999U039.US.Div  
Customer No. : 25959  
  
Date : March 9, 2006

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. § 1.324 (b) (2)

Sir:

I, Agapios K. Agapiou, state that I am a named inventor on US Patent Number: 6,660,815. I agree to the change in inventorship in this patent to add Richard B. Pannell as an inventor.

March 9, 2006  
Date

Agapios K. Agapiou  
Agapios K. Agapiou

1999U039.Div.US.1.324.b.2.agapiou.03.08.06

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**MAR 09 2006**

Application No. : 09/253,053 Confirmation No. N/A  
US Pat. No.: 6,660,815  
Applicant : Agapios K. Agapiou et al.  
Filed : September 24, 2002  
Art Unit : 1713  
Examiner : C. Caixia Lu  
  
Docket No. : 1999U039.US.Div  
Customer No. : 25959  
  
Date : March 8, 2006

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. § 1.324 (b) (1)**

Sir:

I, Richard B. Pannell, state that I wish to be added as an inventor on the above referenced US patent. In being added as an inventor to US Patent Number: 6,660,815, I state that the inventorship error that resulted in my not being named an inventor on this patent was an error without deceptive intent on my part.

8 March 2006  
Date

  
Richard B. Pannell

~5448334

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Application No. : 09/253,053 Confirmation No. N/A  
US Pat. No.: 6,660,815  
Applicant : Agapios K. Agapiou et al.  
Filed : September 24, 2002  
Art Unit : 1713  
Examiner : C. Caixia Lu  
Docket No. : 1999U039.US.Div  
Customer No. : 25959  
Date : March 8, 2006

**MAR 09 2006**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. § 1.324 (b) (2)**

Sir:

I, Chi-I Kuo, state that I am a named inventor on US Patent Number: 6,660,815. I agree to the change in inventorship in this patent to add Richard B. Pannell as an inventor.

3-08-2006

Date

Chi-I Kuo  
Chi-I Kuo

1999U039.Div.US.1.324.b.2.kuo.03.08.06